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FORMALITIES LETTER



OC000000005183025

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/540,709	03/31/2000	Jay A. Walker	99-082

22927
WALKER DIGITAL
FIVE HIGH RIDGE PARK
STAMFORD, CT 06905

Date Mailed: 06/16/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

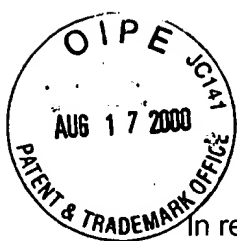
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

08/22/2000 WKORDMA 00000096 500271 09540709

01 FC:105 130.00 CH



ney Docket No.: 99-082

RECEIPT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. WALKER et al.) Customer No.: 22927
)
)
For: SYSTEM TO ESTABLISH A) Examiner Not Yet Assigned
CUSTOMER-SPECIFIED PRICE)
OF A PRODUCT AND TO)
MANAGE REDEMPTION OF THE)
PRODUCT AT THE ESTABLISHED)
PRICE)
)
Serial No.: 09/540,709) Group Art Unit: 2768
)
Filing Date: March 31, 2000) Docket No.: 99-082

Office of Initial Patent Examination
Customer Service Center
Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
SEP - 5 2000
TECH CENTER 2700

TRANSMITTAL LETTER

Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Transmittal Letter;
2. Request for Corrected Filing Receipt;
3. Red-lined copy of Filing Receipt; and
4. Acknowledgment Postcard

Respectfully submitted,

Nandu A. Talwalkar
Attorney for Applicants
PTO Reg. No. 41,339
Walker Digital Corporation
Five High Ridge Park
Stamford, CT 06905
(203) 461-7061 voice
(203) 595-8266 fax

August 15, 2000

Date

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service via Priority Mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on August 15, 2000.

Tara D. Gee

Typed Name of Person Making Deposit

Signature

08/15/00

Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: Walker, et al.) Customer No.: 22927
 For: SYSTEM TO ESTABLISH A CUSTOMER-)
 SPECIFIED PRICE OF A PRODUCT AND) Examiner: Not Yet Assigned
 TO MANAGE REDEMPTION OF THE)
 PRODUCT AT THE ESTABLISHED)
 PRICE)
 Serial No.: 09/540,709) Group Art Unit: 2768
 Filing Date: March 31, 2000) Docket No.: 99-082

 RECEIVED
 SEP -5
 TECH CENTER

Office of Initial Patent Examination
 Customer Service Center
 Assistant Commissioner for Patents
 Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Please effect the foregoing corrections to the filing receipt of the above-identified
 application, mailed June 16, 2000.

1. In the applicant information, please change "Scott T. Case" to "**T. Scott Case**"
2. In the applicant information, please add "**Geoffrey M. Gelman, Stamford, CT**"
3. In the Continuing Data as Claimed by Applicant please change "THIS APPLN CLAIMS BENEFIT OF 60/173,458 12/29/99 WHICH IS A CIP OF 09/337,906 06/22/1999, WHICH IS A CIP OF 08/889,503 07/08/1997" to "**THIS APPLN CLAIMS BENEFIT OF 60/173,458, THIS APPLN IS A CIP OF 09/337,906 06/22/1999 AND A CIP OF 08/889,589 07/08/1997**"

The requested changes have been indicated in red on the enclosed copy of the Filing Receipt. Please issue a corrected Filing Receipt in due course.

The Assistant Commissioner is hereby authorized to charge the associated fee in the amount of \$25.00 to Deposit Account No. 50-0271, Order No. 99-082. The Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271, Order No. 99-082. A duplicate copy of this authorization is attached for such purpose.

August 15, 2000
 Date

Respectfully submitted,

Nandu A. Talwalkar
 Attorney for Applicants
 PTO Reg. No. 41,339
 Walker Digital Corporation
 Five High Ridge Park
 Stamford, CT 06905
 (203) 461-7061 (voice)
 (203) 595-8266 (fax)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: Walker, et al.

Customer No.: 22927

For: SYSTEM TO ESTABLISH A CUSTOMER-SPECIFIED PRICE OF A PRODUCT AND TO MANAGE REDEMPTION OF THE PRODUCT AT THE ESTABLISHED PRICE

Examiner: Not Yet Assigned

Serial No.: 09/540,709

Group Art Unit: 2768

Filing Date: March 31, 2000

Docket No.: 99-082

RECEIVED
SEP 5 2000
TECH CENTER 2700

Office of Initial Patent Examination
Customer Service Center
Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

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August 15, 2000
Date

Respectfully submitted,

Nandu A. Talwalkar
Attorney for Applicants
PTO Reg. No. 41,339
Walker Digital Corporation
Five High Ridge Park
Stamford, CT 06905
(203) 461-7061 (voice)
(203) 595-8266 (fax)

FILING RECEIPT



OC000000005183024

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/540,709	03/31/2000	2768	2448	99-082	35	57	17

22927
WALKER DIGITAL
FIVE HIGH RIDGE PARK
STAMFORD, CT 06905

RECEIVED
SEP 26 2000
TECH CENTER 2000
Date Mailed: 06/16/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

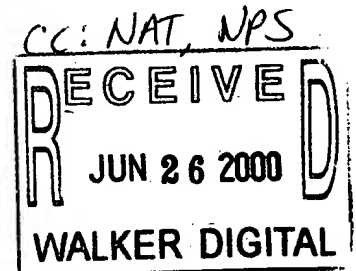
Applicant(s)

Jay A. Walker, Ridgefield, CT ;
Jose A. Suarez, Fairfield, CT ;
T. Scott ~~Scott T.~~ Case, Wilton, CT ;
Michiko Kobayashi, Stamford, CT ;
Magdalena Mik, Greenwich, CT ;
John M. Packes JR., Hawthorne, NY ;
Geoffrey M. Gelman, Stamford, CT.

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/173,459 12/29/1999
~~WHICH IS A CIP OF 09/337,906 06/22/1999~~
~~WHICH IS A CIP OF 08/889,503 07/08/1997~~

08/889,539



Foreign Applications

If Required, Foreign Filing License Granted 06/16/2000

Title

System to establish a customer-specified price of a product and to manage redemption of the product at the established price

Preliminary Class

705



CHCO
Docket Number 99-082

Sector A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. WALKER et al.) Customer No.: 22927
)
For: SYSTEM TO ESTABLISH A CUSTOMER-)
SPECIFIED PRICE OF A PRODUCT AND)
TO MANAGE REDEMPTION OF THE)
PRODUCT AT THE ESTABLISHED PRICE)
) Examiner: Not Yet Assigned
)
Serial No.: 09/540,709) Group Art Unit: 2768
)
Filing Date: March 31, 2000) Docket No.: 99-082

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Applicants hereby submit the following documents for the above-identified patent application:

1. Response to Notice to File Missing Parts;
2. Copy of Notice to File Missing Parts of NonProvisional Application;
3. Executed Combined Declaration and Power of Attorney; and
4. Postcard.

The Assistant Commissioner is hereby authorized to charge the associated filing fee in the amount of \$130.00 to **Deposit Account No. 50-0271**. Order No. 99-082. A duplicate copy of this sheet is attached. The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for the submission of this paper, or credit any overpayment, to **Deposit Account No. 50-0271**. Order No. 99-082. A duplicate copy of this sheet is attached.

August 15, 2000
Date

Respectfully submitted,

Nandu A. Talwalkar
Attorney for Applicants
PTO Reg. No. 41,339
Walker Digital Corporation
Five High Ridge Park
Stamford, CT 06905
(203) 461-7061 (voice)
(203) 595-8266 (fax)

Certificate of Mailing

I hereby certify that this correspondence is being sent via First Class Mail 37 C.F.R. 1.8 in an envelope with sufficient postage addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on August 15, 2000.

Tara D. Gee
Typed Name of Person Making Deposit

08/15/00
Date

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
99-082

In Re Application Of: Walker et al.

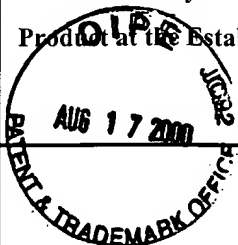
Serial No.
09/540,709

Filing Date
March 31, 2000

Examiner
Not Yet Assigned

Group Art Unit
2768

Invention: System to Establish A Customer-Specified Price of A Product and to Manage Redemption of the Product at the Established Price



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

June 16, 2000
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Acknowledgment Postcard

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
99-082

In Re Application Of: **Walker et al.**

Serial No.
09/540,709

Filing Date
March 31, 2000

Examiner
Not Yet Assigned

Group Art Unit
2768

Invention: **System to Establish A Customer-Specified Price of A Product and to Manage Redemption of the Product at the Established Price**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☐ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims =

☐ Total number of claims =

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the invention

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees

Total fees due **\$130.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
99-082

In Re Application Of: Walker et al.

Serial No.
09/540,709

Filing Date
March 31, 2000

Examiner
Not Yet Assigned

Group Art Unit
2768

Invention: System to Establish A Customer-Specified Price of A Product and to Manage Redemption of the Product at the Established Price



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$130 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0271
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0271
A duplicate copy of this sheet is enclosed.


Signature

Nandu A. Talwalkar
Attorney for Applicants

Dated: August 15, 2000

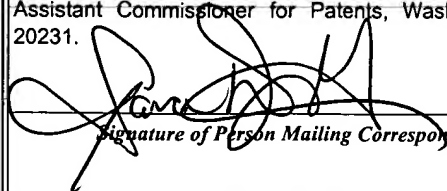


22927

PATENT TRADEMARK OFFICE

CC:

I certify that this document and fee is being deposited on 08/15/2000 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Signature of Person Mailing Correspondence

Tara D. Gee

Typed or Printed Name of Person Mailing Correspondence

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
99-082

In Re Application Of: **Walker et al.**

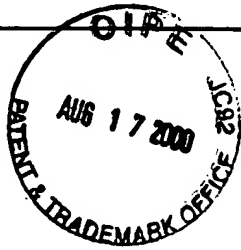
Serial No.
09/540,709

Filing Date
March 31, 2000

Examiner
Not Yet Assigned

Group Art Unit
2768

Invention: **System to Establish A Customer-Specified Price of A Product and to Manage Redemption of the Product at the Established Price**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$130** is to be paid as follows:

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A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0271**
A duplicate copy of this sheet is enclosed.

A handwritten signature in black ink, appearing to read "Nandu A. Talwalkar".

Signature

Nandu A. Talwalkar
Attorney for Applicants

Dated: **August 15, 2000**



22927

PATENT TRADEMARK OFFICE

I certify that this document and fee is being deposited on **08/15/2000** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

A handwritten signature in black ink, appearing to read "Tara D. Gee".

Signature of Person Mailing Correspondence

Tara D. Gee

Typed or Printed Name of Person Mailing Correspondence

CC:

FILE COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

SERIAL NUMBER 09/540,709	FILING DATE 03/31/2000 RULE -	CLASS 705	GROUP ART UNIT 2768	ATTORNEY DOCKET NO. 99-082
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APPLICANTS

Jay A. Walker, Ridgefield, CT ;
Jose A. Suarez, Fairfield, CT ;
T. Scott Case, Wilton, CT ;
Michiko Kobayashi, Stamford, CT ;
Magdalena Mik, Greenwich, CT ;
John M. Packes JR., Hawthorne, NY ;
Geoffrey M. Gelman, Stamford, CT ;

**** CONTINUING DATA *******

THIS APPLN CLAIMS BENEFIT OF 60/173,458 12/29/1999
WHICH IS A CIP OF 09/337,906 06/22/1999
WHICH IS A CIP OF 08/889,503 07/08/1997

**** FOREIGN APPLICATIONS *******

IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 06/16/2000

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY CT	SHEETS DRAWING 35	TOTAL CLAIMS 57	INDEPENDENT CLAIMS 17
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Verified and Acknowledged
Examiner's Signature _____ Initials _____

ADDRESS

22927

TITLE

System to establish a customer-specified price of a product and to manage redemption of the product at the established price

FILING FEE RECEIVED 2578	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
------------------------------------	---	---